A Tool for Systems Transformation: Sequential Intercept Mapping

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ATTC Grantee Meeting
3-14-13
What is Mapping?

Depicts contact/flow with the criminal justice system

A tool to:
- Transform fragmented systems
- Assess local gaps and opportunities
- Identify where to begin interventions

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• **Sequential:** People move through criminal justice system in predictable ways

• **Intercept:** Envisions a series of points of interception at which an intervention can be made to prevent individuals from entering or penetrating deeper into the criminal justice system

  – Key points to “intercept” to ensure:
    • Prompt access to treatment
    • Opportunities for diversion
    • Timely movement through criminal justice system
    • Linkage to community resources
**Actions for State Level Change...**

- Develop a statewide effort to provide Crisis Intervention Training for police as done in OH, AZ.
- Pass legislation encouraging jail diversion programs as done in FL, MI, RI, CT, TX.
- Facilitate change at the State level to allow the retention of Medicaid or SSI eligibility via suspension in jail rather than termination, as done in Lone County, OR.
- Remove constraints that exclude persons formerly incarcerated from housing or services that make criminal justice clients a priority for housing, as done in MD.
- Expand access to evidence-based programs in community-based services for people with mental illness in contact with the justice system.
- Create criminal justice priority eligibility group without “net-widening” or limiting services to others, for instance, by using HUD funds for housing and Justice Assistance Grants (JAG).
- Provide access to comprehensive and integrated treatment programs for persons with mental illness and co-occurring substance use disorders diverted or released from the criminal justice system.
- Legislate task force/committees made up of mental health, substance abuse, and criminal justice stakeholders to legitimization addressing the issues as done in TX, AZ, CA.
- Utilize the State planning process to integrate mental health, substance abuse, and criminal justice, identify incentives to get stakeholders in each system to the table.
- Support training programs that focus on cross-systems collaboration and provide opportunities for using people with mental illness as cross-trainers.

**Intercept 1**  
Law enforcement/ Emergency services

**Intercept 2**  
Initial detention/Initial court hearings

**Intercept 3**  
Jails/Courts

**Intercept 4**  
Recency

**Intercept 5**  
Community corrections/Community support

**COMMUNITY**

**Local Law Enforcement**

**Arrest**

**Initial Detention**

**First Appearance**

**Court**

**Community**

**Jail**

**Dispositional**

**Court**

**Jail**

**Sentenced**

**Probation**

**Sequential Intercepts for Change: CJ-MH Partnerships**

**Action Steps for Service Level Change by Intercept...**

- **Request for Police Service**: Train dispatchers to identify calls involving persons with mental illness and refer to designated, trained responders.
- **On-Site Assessment**: Train officers with de-escalation techniques to effectively assess and respond to calls where mental illness may be a factor.
- **Incident Documentation**: Document police contacts with calls involving a person with mental illness to promote use of available services and ensure accountability.
- **Police Response Evaluation Collaboratives**: Collaborate with mental health professionals to identify available services and reduce frequency of subsequent contacts by individuals with histories of mental illness and with prior arrests.

- **Appointment of Counsel**: Provide defense attorneys with early and possible access to client mental health history and service needs, available community mental health resources, and legislation to ensure the use of mental health information in case resolution.
- **Pretrial Release & Modification of Pretrial Diverse Conditions**: Maximize the use of appropriate pretrial release options and assist defendants with mental illness in complying with conditions of pretrial release.

- **Initial Procedure**: Establish a comprehensive, standardized, objective, and validated initial procedure to assess individuals’ strengths, risks, and needs upon admission.
- **Individualized Programming Plan**: Using information obtained from assessments, identify programs necessary during incarceration to ensure safe and successful transition to the community.
- **Physical Health Care & Mental Health Care**: Facilitate community-based providers’ access to prisoners and promote service delivery consistent with community and public health standards.
- **Substance Abuse Treatment**: Children & Families, Behavior & Autism, Education & Vocational Education.
- **Substance Abuse Treatment Programs**: Provide effective substance abuse treatment services for families and children of inmates, educational and occupational programs, peer support, mentoring, and basic life skills.

- **Subsequent Referral for Mental Health Evaluation**: Identify individuals not identified in screening and assessment processes who show symptoms of mental illness after intake into the facility and ensure appropriate services are taken.
- **Development of Transition Plan**: Effect the safe and seamless transition of people with mental illness from prison or jail to the community.
- **Transition Planning**: Facilitate collaboration among corrections, community correction, and community providers and utilize a transition checklist to identify service needs and provide effective linkage to services.
- **Identification of Supervision Issues**: Releasees with prior prison or jail with ID and prior determination of eligibility and linkage to public benefits to ensure immediate access upon release from prison or jail.

- **Implementation of Supervision Strategy**: Concentrate community supervision resources on the period immediately following the person’s release from prison or jail, and adjourn supervision as the needs of releasees, victim, community, and family change.
- **Maintaining a Community of Care**: Connect reentry to community support, including supportive employment services, prior to release.
- **Graduated Response & Modification of Conditions**: Establish a range of options for community corrections officers to employ to enhance positive behavior and effectively address violations or noncompliance with conditions of release.

Workshop Tasks

- Nurture cross system collaboration
- Map the local system
- Agree on Priorities
- Build an Action Plan
Day 1: Creating a Local Map

- Brings together key stakeholders to tap into local expertise
- Creates a local map using the Sequential Intercept Model
  - Visually depicts local system
  - Identifies:
    - Existing local services and processes
      - Gaps in services
      - Strengths to build upon --- opportunities and resources
      - Data
    - Issues considered important to local stakeholders
- Helps everyone see the “big picture” and how they fit
  - Helps diverse groups from various systems understand where/how everything fits
  - Intercepts provide manageable venues and opportunities for systems interventions
Who to include:

<table>
<thead>
<tr>
<th>MENTAL HEALTH</th>
<th>SUBSTANCE ABUSE</th>
<th>CRIMINAL JUSTICE</th>
<th>CONSUMERS</th>
<th>SUPPORT SERVICES</th>
<th>OTHERS</th>
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</thead>
<tbody>
<tr>
<td>Community-based</td>
<td>Community-based treatment public and private programs</td>
<td>Law enforcement</td>
<td>People with mental illness</td>
<td>Case management</td>
<td>Elected officials</td>
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<tr>
<td>Mental health centers</td>
<td>Case management</td>
<td>Jail: administrators &amp; health services</td>
<td>People with co-occurring disorders</td>
<td>Housing</td>
<td>Social services; Medicaid/Medicare</td>
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<td>Clinics</td>
<td>Detoxification programs</td>
<td>Probation and/or parole departments</td>
<td>People with lived experiences with the criminal justice system</td>
<td>Peers programs</td>
<td>Social Security Administration (entitlements)</td>
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<td>Behavioral health HMO’s</td>
<td>Residential treatment programs</td>
<td>Diversion programs</td>
<td>Family members</td>
<td>Mutual support programs</td>
<td>Cultural organizations</td>
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<td></td>
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<td>Community corrections</td>
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<td>Advocacy programs</td>
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<td>Consumer run programs</td>
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<td>Courts: Judges, District Attorney, Public Defender, Defense Attorneys, Pre-trial Services, Mental Health, Drug or Other Specialty Court</td>
<td>Employment programs</td>
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Systems Transformation

What Works! Strategies for Systems Coordination and Collaboration
Sequential Intercepts for Change: Criminal Justice - Mental Health Partnerships

**Intercept 1**
Law enforcement / Emergency services

**Intercept 2**
Initial detention / Initial court hearings

**Intercept 3**
Jails / Courts

**Intercept 4**
Reentry

**Intercept 5**
Community corrections / Community support

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Adapted from Minneci & Griffin 2006
Current services?

How do the systems work?

Issues important to stakeholders

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Priorities

- Gather data
- Research the community
- Identify Top Priorities
Day 2

Taking Action for Change --- Facilitated Action Planning

◆ Half-day activity immediately following the Mapping Workshop
◆ Key Stakeholders make specific plans for taking action
◆ Interactive, information-sharing, collaborative activity addresses the identified gaps in service and priorities established in the Mapping workshop
◆ Gaps are addressed through prioritized action steps
## Action Planning

### Franklin County, Pennsylvania: 2009

#### Priority Area 1: Housing

<table>
<thead>
<tr>
<th>Objective</th>
<th>Action Step</th>
<th>Who</th>
<th>When</th>
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<tbody>
<tr>
<td>1.1</td>
<td>Engage and use community resources that may be interested in this issue</td>
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<td></td>
<td>• Get a number of groups interested in this issue together to pool resources</td>
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<td></td>
<td>• Give them the information and direction to be able to lead</td>
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<td></td>
<td>• Approach the faith and faith-based volunteers about being involved</td>
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<td>1.2</td>
<td>Discuss issue with CJAB</td>
<td>CJAB — Alaina Ingels</td>
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<td>• Perhaps raise issue in Executive Committee meeting in May — Judge Walsh</td>
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<td>• Consider asking CJAB to contact with consultant</td>
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<td>• Consider requesting funding from PGEB — perhaps a CJAB enhancement fund grant</td>
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<td>• Look at money available right now (Cumberland County, for example)</td>
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<td>1.3</td>
<td>Begin educating landlords to provide housing for this population</td>
<td>Kim — Raise Issue with Housing Authority in meeting scheduled the end of April</td>
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<td></td>
<td>• Get County enforcement and leadership</td>
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<td>• Identify landlords willing to work with this population</td>
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<td>• Examine the work Allegheny County is doing working with landlords</td>
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<td>• Outreach to landlords, 247 support</td>
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<td>• Discuss issue in Housing Authority meeting at the end of the month</td>
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<td>• Ascertain Housing Authority’s willingness to take leadership role, given history of working with developing housing for people with severe mental illness</td>
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<td>• Timing is good to approach landlords given the large amount of available commercial and residential space</td>
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<td>• Look at Diana Meyers and Associates’ work in the state</td>
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<td>1.4</td>
<td>Coordinate county agencies and various groups working separately on these issues</td>
<td>Local Housing Options Teams (LHOT)</td>
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<td></td>
<td>• Develop buy-in from local housing authority</td>
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<td>1.5</td>
<td>Inventory what’s now available</td>
<td>Tracy as a contact person for that grant</td>
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<td>• Start with a review of what data is available across the systems</td>
<td>Identify groups/organizations that are open to renting to this population</td>
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For more information:

**Mapping Workshops:**

- [www.prainc.com/sequential-intercept-mapping](http://www.prainc.com/sequential-intercept-mapping)

**Sequential Intercept Model:**


- GAINS Sequential Intercept comprehensive planning brochure
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