Cohort 1 Programs at Follow-Up: Characteristics and Implementation

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Purpose of the Presentation

• Describe Cohort I sites at follow-up

• Focus on: 1) program characteristics; and 2) implementation status/strength

• Present early findings on the relationship between these two program level factors (Are certain program characteristics associated with stronger implementation?)

• Review common challenges and sustainability
Integrated Framework For Future Analysis

Program Characteristics

Implementation Strength

Service Utilization

Client Outcomes

Client Background Characteristics
Measures

• Complete site visit data for Cohort 1 (at 24 and 48 months post funding)
• Site visitor assessments:
  • Site Visit Report
  • Implementation Rating Scale for pilot and state
  • Pilot Characteristics Matrix
• Client level data used for some program characteristics
Pilot Characteristics

- **Program Context**
  - Program setting (urban, rural, mixed); past experience (readiness)

- **Program Leadership**
  - Leadership stability

- **Pilot Judicial Structure**
  - Major offense type; intercept point; degree judicial oversight

- **Pilot Service Structure**
  - Eligibility requirements; service funding; service concentration
Pilot Leadership: Stability

<table>
<thead>
<tr>
<th>Site</th>
<th>Stability Type</th>
<th>Number of Sites</th>
</tr>
</thead>
<tbody>
<tr>
<td>Site 1</td>
<td>Significant Turnover</td>
<td>0</td>
</tr>
<tr>
<td>Site 2</td>
<td>Some Turnover</td>
<td>0</td>
</tr>
<tr>
<td>Site 3</td>
<td>Some Turnover</td>
<td>0</td>
</tr>
<tr>
<td>Site 4</td>
<td>Stability</td>
<td>0</td>
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<tr>
<td>Site 5</td>
<td>Stability</td>
<td>0</td>
</tr>
<tr>
<td>Site 6</td>
<td>Stability</td>
<td>0</td>
</tr>
</tbody>
</table>

Substance Abuse and Mental Health Services Administration

www.samhsa.gov • 1-877-SAMHSA-7 (1-877-726-4727)
Judicial Structure: Offense Category

Source: Client tracking data submitted by grantees.
Source: Client tracking data submitted by grantees.
Service Structure: Eligibility Requirements

Number of Sites

Veteran Status

Positive Trauma

Site 1

Site 2

Site 3

Site 4

Site 5

Site 6

JDTR

SAMHSA

www.samhsa.gov • 1-877-SAMHSA-7 (1-877-726-727)
Service Structure: Service Funding by Core Service

- Screening
- Case Mgmt./Ref.
- Peer Svcs./Supts.
- Direct Tx.
- Wrap-around Svcs.
- Trauma-Spec. Tx.

# Sites Funding Service

- Screening: 6
- Case Mgmt./Ref.: 5
- Peer Svcs./Supts.: 4
- Direct Tx.: 4
- Wrap-around Svcs.: 1
- Trauma-Spec. Tx.: 1
Service Structure: Service Funding by Site

<table>
<thead>
<tr>
<th>Site</th>
<th># Core Services Funded by Grant</th>
</tr>
</thead>
<tbody>
<tr>
<td>Site 1</td>
<td>4</td>
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<tr>
<td>Site 2</td>
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<td>Site 3</td>
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<td>Site 4</td>
<td>4</td>
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<tr>
<td>Site 5</td>
<td>4</td>
</tr>
<tr>
<td>Site 6</td>
<td>4</td>
</tr>
</tbody>
</table>
Service Structure: Service Concentration by Site

- Site 1: 4
- Site 2: 2
- Site 3: 2
- Site 4: 4
- Site 5: 4
- Site 6: 4
Service Structure: Service Model (Combination of Service Funding and Service Concentration)
**Centralized Service Model: (Sites 1, 4, 5)**
- JDTR staff provide screening; case management/referrals; some direct treatment services (trauma specific tx at 1 site); and peer services/supports (2 sites)
- Referral to community providers and VA for additional treatment services and for wrap-around supports

**Partnership Model: (Site 6)**
- All services delivered through three contracted partner agency staff (treatment, support, and court-based case-management)

**Referral Model: (Sites 2, 3)**
- Limited direct services funded/provided include screening and peer services/supports; others provided through referral
“Typical” JDTR Pilot Characteristics

Most Cohort 1 primary pilots (4 out of 6):

- Were in urban or mixed locales
- Had past experience with JDTR focus areas
- Experienced some leadership turnover
- Served individuals with a mix of charges
- Intercepted clients post-booking
- Had strong linkages with a specialty or other court
- Had veteran status as an eligibility requirement
- Used JDTR funding for screening, case management, peer services/supports, and some direct treatment
Stages of Implementation

1= No steps taken

2= Planning underway

3= Initial action steps taken

4= Significant steps taken

5= Fully implemented
Cohort 1 Implementation at 48 Months: State and Pilot

Source: JDTR Site Visit Implementation Scale
Twelve Pilot Core Components

- Local Advisory Group (cross system members)
- Operational intercept point
- Referral network
- Outreach (target numbers)
- Trauma screening (all participants)
- Trauma-specific treatment
- Other treatment services (MH, SA, COD)
- Wrap-around recovery supports
- Peer services/supports
- TIC Training
- Peer/Consumer involvement
- Performance data
Pilot Component Implementation Status Across Sites

Source: JDTR Site Visit Implementation Scale
Pilot Implementation Strength By Sites: High and Low Implementers

<table>
<thead>
<tr>
<th>Site</th>
<th>None</th>
<th>Initial</th>
<th>Low</th>
<th>Moderate</th>
<th>High</th>
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</thead>
<tbody>
<tr>
<td>Site 2</td>
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<td>Site 6</td>
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<td>Site 5</td>
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<td>Site 1</td>
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</tbody>
</table>

Source: JDTR Site Visit Implementation Scale
Implementation Strength: State Level
Core Components

- Cross system advisory group
- Pilot oversight
- Evaluation oversight
- Pilot expansion
- Expansion of training on TIC
- Expansion of training on trauma screening
- Expansion of trauma specific treatment
- Knowledge dissemination
- Review/revise policies
- Peers hold meaningful roles on SAC
State Level Component Implementation
Status Across Sites

- Pilot Expansion
- Knowledge Dissemination
- TIC Training Expansion
- Pilot Oversight
- Evaluation Oversight
- Peers Hold Meaningful Roles
- Review/Revise Policies
- SAC Established
- Trauma Screening Expansion
- Trauma Treatment Expansion

Status Levels: None, Initial, Low, Moderate, High
State Implementation Status By Sites

- Site 5: Moderate
- Site 4: High
- Site 1: Moderate
- Site 3: Low
- Site 6: Low
- Site 2: None

None | Initial | Low | Moderate | High
Implementation Strength By Site: Combination of State and Pilot Implementation

- Site 1: Initial State and Low Pilot
- Site 3: Moderate State and Initial Pilot
- Site 5: High State and Moderate Pilot
- Site 6: Initial State and Initial Pilot
- Site 2: None State and Low Pilot
- Site 4: Moderate State and High Pilot

State Level Implementation Strength

Pilot Level Implementation Strength

None
Initial
Low
Moderate
High
Relationship Between Location and Implementation Strength

- Rural (Site 2)
- Mixed (Sites 1,4,6)
- Urban (Sites 3,5)

Pilot Implementation Strength:
- None
- Initial
- Low
- Moderate
- High
Relationship Between Leadership Stability and Implementation Strength

- Signif. Turnover (Sites 5, 6)
- Some Turnover (Sites 1, 2, 3)
- Stable (Site 4)

Leadership Stability:
- None
- Initial Pilot Implementation
- Low
- Moderate
- High Implementation Strength
Relationship Between Service Model and Implementation Strength

- Partnership (Site 6)
- Referral (Sites 2, 3)
- Centralized (Sites 1, 4, 5)
More significant implementation progress at pilots versus state

Sites with high state implementation had high pilot implementation

Greatest pilot implementation progress on:
  • Trauma screening
  • Outreach and enrollment
  • Operational intercept point(s)
  • Treatment and wrap around services
  • Local Advisory Boards (include key stakeholders)
  • TIC training

Greatest state implementation progress: pilot expansion, knowledge dissemination, TIC training expansion

Urban location, leadership stability, and centralized service models appear associated with greater pilot implementation progress
Common Challenges: Pilots

- Limited access to trauma-specific services
- Problems defining/implementing peer roles
- Significant staff turnover
- Difficulty establishing or maintaining relationships with one or more key stakeholders
Common Challenges: State Projects

- Decentralized Judiciary Systems
  - Expansion/replication required outreach to many separate local court systems; very time intensive

- Effort required to implement pilots meant less time/resources to develop robust State-level projects
Progress on Sustainability

- Funding Secured for Pilot Continuation
  - 3 states have funding to continue pilot in modified form or to continue some pilot components

- JDTR Legacy
  - State/local commitments to addressing veterans’ needs
  - Increased awareness and access to trauma-informed and trauma-specific services
  - New and important relationships, especially with VA and other veterans organizations
  - Products developed through JDTR